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## N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Woodrow WILSON

Attorney Docket No.: WILSON/Smart

Serial No.: 10/816,803

Group Art Unit: 3744

Filed: April 2, 2004

Examiner:

Title: REFRIGERATED MERCHANDISER

under 37 CFR 1.97(b), or

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

X

This Information Disclosure Statement is submitted:

	(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
_	under 37 CFR 1.97(c) together with either a:  Certification under 37 CFR 1.97(e), or  a \$240.00 fee under 37 CFR 1.17(p), or  (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
	under 37 CFR 1.97(d) together with a:  Certification under 37 CFR 1.97(e), and  a petition under 37 CFR 1.97(d)(2)(ii), and  a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).  (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: Augst 23, 2004

Typed Name: Lord G. Witkin

o: . . . . . . / / /

Respectfully submitted,

Alan Israe

Attorney/Agent for Applicant(s)

Reg. No. 27564

Date: August 23, 2004

Telephone No.: 212-697-3750

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WILSON/Smart

Approved for use through 10/31/2002. OMB 0651-0031

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Substitute for form 1449A/PTO Complete if Known **Application Number** 10/816,803 Filing Date April 2, 2004 **Woodrow WILSON** 

Attorney Docket Number

FORMATION DISCLOSURE 3 STATEMENT BY APPLICANT First Named Inventor **Group Art Unit** 3744 (use as many sheets as necessary) Examiner Name

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent I	Nocument  Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		4,161,868		Kennedy, et al.	07/24/1979		
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or	Date of Publication of	Pages, Columns, Lines,	T
		Office	Numbe	Kind Code <sup>5</sup> ( <i>if known</i> )	Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Τ°
			17124		Canada	09/19/1949		
			51418		Canada	05/30/1983		
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Examiner	Date	е	
Signature	Con	sidered	

<sup>\*</sup>EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.